- 1 Experimental reproduction of viral replication and disease in dairy calves and lactating cows
- 2 inoculated with highly pathogenic avian influenza H5N1 clade 2.3.4.4b
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- 5 Authors:
- 6 Amy L. Baker^{1*}, Bailey Arruda¹, Mitchell V. Palmer¹, Paola Boggiatto¹, Kaitlyn Sarlo Davila¹,
- 7 Alexandra Buckley¹, Giovana Ciacci Zanella¹, Celeste A. Snyder¹, Tavis K. Anderson¹, Carl
- 8 Hutter¹, Thao-Quyen Nguyen¹, Alexey Markin¹, Kristina Lantz², Erin A. Posey², Mia Kim
- 9 Torchetti², Suelee Robbe-Austerman², Drew R. Magstadt³, Patrick J. Gorden³
- ¹Virus and Prion Research Unit, National Animal Disease Center, Agricultural Research
- 11 Service, United States Department of Agriculture; Ames, Iowa, 50010, USA.
- 12 ²National Veterinary Services Laboratories, Animal and Plant Health Inspection Service,
- 13 United States Department of Agriculture; Ames, Iowa, 50010, USA.
- ¹⁴ ³Veterinary Diagnostic and Production Animal Medicine, Iowa State University, Ames, Iowa,
- 15 50010, USA.
- 16 *Corresponding author: amy.l.baker@usda.gov
- 17
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- 20

21 Abstract

Highly pathogenic avian influenza (HPAI) H5N1 of the hemagglutinin clade 2.3.4.4b was 22 23 detected in the United States in late 2021 and continues to circulate in all four North American 24 flyways to date. In addition to impacting poultry, these HPAI viruses caused mortality events in 25 wild bird species and wild mammals. Transmission in multiple host species raises the concern 26 for mammalian adaptation. On March 25, 2024, HPAI H5N1 clade 2.3.4.4b was confirmed in a 27 dairy cow in Texas in response to a multi-state investigation into milk production losses. Over one hundred positive herds were rapidly identified in Texas and eleven other U.S. states. The 28 29 case description included reduced feed intake and rumen motility in lactating cows, decreased 30 milk production, and thick yellow milk. The diagnostic investigation revealed detections of viral 31 RNA in milk and mammary tissue with alveolar epithelial degeneration and necrosis, and 32 positive immunoreactivity of glandular epithelium by immunohistochemistry. A single transmission event, likely from avian species to dairy cattle, followed by limited local 33 34 transmission preceded the onward lateral transmission of H5N1 clade 2.3.4.4b genotype 35 B3.13. We sought to experimentally reproduce infection with genotype B3.13 in Holstein yearling heifers and lactating cows. The heifers were inoculated by an aerosol respiratory route 36 37 and the cows by an intramammary route. Clinical disease was mild in the heifers, but infection 38 was confirmed by virus detection, lesions, and seroconversion. Clinical disease in lactating 39 cows included decreased rumen motility, changes to milk appearance, and production losses 40 consistent with field reports of viral mastitis. Infection was confirmed by high levels of viral RNA detected in milk, virus isolation, lesions in mammary tissue, and seroconversion. This study 41 42 provides the foundation to investigate additional routes of infection, transmission, and 43 intervention strategies.

44 Introduction

Highly pathogenic avian influenza (HPAI) H5N1 viruses of the goose/Guangdong lineage in the 45 hemagglutinin clade 2.3.4.4b were detected in the United States (U.S.) in 2021 following 46 47 widespread dispersion across Asia and Europe. H5N1 in this clade were detected across the 48 U.S. in mortality events in wild bird species and wild mammals. Additionally, outbreaks 49 occurred in numerous commercial and backyard poultry premises, leading to culling to control the spread. On March 25, 2024, the US Department of Agriculture (USDA) National Veterinary 50 Services Laboratories (NVSL) confirmed a case of HPAI H5N1 clade 2.3.4.4b genotype B3.13 51 52 in a dairy cow in Texas in response to a multi-state investigation into milk production losses. 53 Additional outbreaks were rapidly identified in Texas as well as eight other U.S. states. As of July 11, 2024, 146 cases were confirmed in 12 states ¹. The typical case description on the 54 55 affected dairy farms included reduced feed intake and rumination in lactating cows, rapid drop in milk production, and affected cows with thick yellow milk with flecks and/or clots². The 56 57 diagnostic investigation revealed low Ct detections of viral RNA in RT-qPCR assays, 58 mammary tissue with alveolar epithelial degeneration and necrosis with intraluminal sloughing of cellular debris, and positive immunoreactivity of glandular epithelium with nuclear and 59 60 cytoplasmic labeling by immunohistochemistry (IHC). Deceased domestic cats that presented with neurologic and respiratory signs from the affected dairy herds were also diagnosed and 61 62 confirmed to be infected with the same H5N1 clade 2.4.4.4b B3.13 genotype, as well as 63 several peridomestic wild birds found around or near affected premises. A genomic and epidemiologic investigation demonstrated that a single transmission event from 64 avian species preceded the multi-state cattle outbreak ³. The movement of preclinical or 65 66 subclinical lactating dairy cows was the major contributor to spread among the U.S. dairy

67 premises. Sequence analysis thus far revealed highly similar genomes among cattle detections with little evolution or known mammalian adaptation markers ³. Several human 68 infections were reported with H5N1 clade 2.3.4.4b, and although some were severe or fatal, 69 the four human detections associated with the dairy outbreak in the U.S. were mild ⁴⁻⁶. 70 71 However, transmission in multiple host species, particularly mammals, raises the concern for 72 mammalian adaptation that may lead to increased potential for human infection and/or transmission. 73 Although a few sporadic detections of human seasonal influenza A virus (IAV) or antibodies 74 75 were previously reported in dairy cows associated with milk production loss ^{7,8}, the Texas 76 cases in 2024 were the first reports of HPAI of any subtype causing viral mastitis in lactating 77 dairy cows. At the time we initiated these experiments, the route of infection and transmission 78 between cows was unknown. Transmission between farms was linked to movement of live lactating cows, yet within farm spread to resident cows was observed within days or weeks 79 80 following movement without a clear pattern of transmission consistent on all farms. Here, we 81 sought to experimentally reproduce infection of dairy cattle with genotype B3.13 in Holstein yearling heifers via an aerosol respiratory route and in lactating cows via an intramammary 82

83 route.

84 Materials and Methods

85 Strain characterization

We analyzed the HPAI H5N1 genotype B3.13 virus used this study (A/dairy cattle/Texas/24008749-002/2024: TX/24: NCBI PP755581- PP755588) with other B3.13 strains generated
within Nguyen et al.³ and newly sequenced data collected between April 16 and May 8, 2024.
Influenza A virus RNA from samples was amplified, then we generated cDNA libraries by using

90 the Illumina DNA Sample Preparation Kit, (M) Tagmentation (Illumina,

91 https://www.illumina.com) and either the 300 or 500-cycle iSeq or NextSeq Reagent Kit v2

- 92 (Illumina) according to manufacturer instructions. We performed reference-guided assembly of
- 93 genome sequences using IRMA v1.1.5 ⁹. We aligned each gene segment using mafft v7.490 ¹⁰
- 94 and inferred maximum likelihood phylogenetic trees for each gene segment as well as
- 95 concatenated whole genomes using IQ-Tree v2.2.2¹¹. These phylogenetic gene trees were
- 96 used to determine how representative the TX/24 strain was relative to viruses collected
- 97 between March and May 2024. This approach implemented the evaluate algorithm within
- 98 PARNAS ¹² that objectively identified the most representative strain within the B3.13 group and
- 99 how near the TX/24 strain was relative to that representative strain.

100 **Cow intramammary inoculation**

- 101 All animal studies were carried out in a BSL3-Ag facility in compliance with the Institutional
- 102 Animal Care and Use Committee of the USDA-ARS National Animal Disease Center (NADC)
- and Federal Select Agent Program regulations. Two non-pregnant, lactating Holstein cows of
- approximately 3 years of age and 280 days in milk during their first lactation were moved from
- the NADC dairy into BSL3-Ag containment and acclimated for approximately 1 week before
- inoculation. Cows were inoculated with 1 ml of 1 x 10^5 tissue culture infectious dose 50
- 107 (TCID₅₀/ml A/dairy cattle/Texas/24-008749-002/2024 by an intramammary route in each of two
- 108 quarters, front right and rear left, using a teat canula (Supplemental Figure 1A). After instilling,
- the inoculum was moved upward into the teat sinus with gentle massage.

110 Calf aerosol inoculation

- 111 Five Holstein heifer calves of approximately one-year of age were inoculated with either 2 ml of
- 112 1 x 10^6 TCID₅₀/ml A/dairy cattle/Texas/24-008749-002/2024 (n=4) or sham-inoculated with

113 phosphate buffered saline (PBS) (n=1) by an aerosol respiratory route. For aerosol inoculation, 114 calves were restrained in a cattle stanchion and the inoculum delivered by nebulization into a 115 mask covering the nostrils and mouth (Supplemental Figure 1B). Two ml of inoculum were 116 placed into the medicine cup of the portable equine nebulizer (Flexineb E3, Nortev Ltd. 117 Oranmore, Galway, Ireland). Nebulization continued until all the inoculum was delivered 118 (approximately 3 min). Following the inoculum, 2 ml sterile PBS were nebulized through the apparatus. The portable equine nebulizer uses a vibrating mesh nebulizer, which generates 119 aerosol droplets in the respirable range. Droplets generated by vibrating mesh nebulizers were 120 shown to be 3-5 um ¹³, with the manufacturer of the nebulizer reporting that 70% of the total 121 122 nebulized volume resulted in droplets of <5 um. Aerosolized droplets that are less than 5 um bypass the upper respiratory tract and are deposited deep in the lower respiratory tract ¹⁴. 123

124 Clinical evaluation and sample collection

125 In the lactating cows, rumination and other behaviors were monitored continuously using an ear-tag accelerometer sensor (CowManager SensOor, Agis Automatisering BV, Harmelen, the 126 127 Netherlands). For cows and heifers, clinical signs were visually monitored and recorded daily 128 (Supplemental Table 1), including body temperature with a thermal microchip, respiratory effort 129 and rate, nasal and ocular discharge, and fecal consistency. The lactating cows were milked 130 once daily in the morning using a portable milker (Hamby, Maysville, MO). Prior to milking, the 131 teats were cleaned with water, disposable paper towels, and isopropyl alcohol wipes, and milk 132 manually stripped from each teat into separate 50 ml tubes. The milk samples were evaluated 133 by guarter for mastitis by California Mastitis Test (CMT, ImmuCell, Portland, ME) and 134 evaluation for consistency and color using a dental color scorecard on a scale of 0-12. The 135 remaining milk stripped by quarter was used for virus and/or antibody detection. After milking,

a sample from each cow's milking machine bucket was also collected. A separate milking claw

- 137 was used for each cow, and the claw, tubing, and bucket were washed, degreased, and
- disinfected (Virkon S, LanXess, Pittsburg, PA) after each use.
- 139 The remaining clinical samples were collected daily from cows and calves for 7 days post-
- 140 inoculation (DPI) and approximately every 2 days thereafter until study termination. To sample
- 141 the deep pharyngeal region, a Frick cattle mouth speculum was used. Using manual restraint,
- the speculum was placed over the tongue to the pharynx and held there. Through the
- speculum, FLOQ nylon-tipped (Copan, Murrieta, CA) or long cotton-tipped (SCA Health,
- 144 Dallas, TX) swabs were used to collect samples of the deep oropharynx. Ocular, nasal, and
- rectal samples were collected with FLOQ nylon swabs. Whole blood was collected via jugular
- 146 venipuncture and placed into molecular transport media (PrimeStore MTM, Longhorn,
- 147 Bethesda, MD) and into serum separator tubes. Saliva was collected with an absorbent pad
- 148 (Super-SAL, Oasis Diagnostics, Vancouver, WA).

149 Pathologic evaluation

150 All cattle were humanely euthanized via intravenous administration of pentobarbital sodium 151 (Fatal Plus, Vortech Pharmaceuticals, Dearborn, MI). Heifers 2311 and 2316 and a negative 152 control heifer were necropsied at 7 DPI while the remaining two aerosol inoculated heifers 153 were necropsied at 20 DPI. The lactating dairy cows were necropsied at 24 DPI. At the time of necropsy, the thoracic cavity, abdominal cavity and cranium (longitudinal section) underwent 154 155 macroscopic evaluation. Paired fresh and formalin-fixed tissues for RT-qPCR and microscopic 156 evaluation, respectively, were collected (Supplemental Table 2). Formalin-fixed tissues were 157 processed routinely for microscopic evaluation. Additional fresh samples included aqueous 158 and vitreous humor, urine, feces and rumen contents.

159 Immunohistochemistry (IHC) targeting the nucleoprotein (NP) antigen of IAV was performed as

- 160 previously described with the inclusion of a positive and negative tissue control ¹⁵. A
- 161 representative section was selected for IHC from nasal turbinate, trachea, lung,
- tracheobronchial lymph node, supramammary lymph node (lactating cows), and individual
- 163 mammary quarters (lactating cows) from each animal. Additional tissue sections with RT-
- 164 qPCR detection and/or histologic lesions were also evaluated by IHC for the detection of NP
- 165 antigen to confirm a causal link between IAV and the lesion. A Masson's trichrome stain was
- 166 performed per the manufacturer's instructions on representative mammary gland sections from
- 167 each of the four quarters to highlight fibrous connective tissue (Newcomer Supply).

168 Virus detection in clinical samples

- 169 IAV RNA extraction and reverse transcription quantitative real-time PCR (RT-qPCR) were
- 170 performed at the USDA NVSL according to the standard operating procedures ¹⁶. Clinical ante-
- 171 mortem and necropsy samples were tested using an IAV matrix gene RT-qPCR. A subset of
- 172 positive samples with Ct \leq 35 was processed for whole genome sequencing (WGS). Influenza
- 173 A virus RNA from samples was amplified ¹⁷; and after amplification was completed, we
- 174 generated cDNA libraries for MiSeq as indicated under virus characterization. A subset of RT-
- 175 qPCR positive samples was also inoculated onto 10-day old embryonating chicken eggs for
- 176 virus isolation to determine the presence of viable virus.

177 Antibody Detection

- 178 Seroconversion was determined using a blocking ELISA to detect antibodies to the
- 179 nucleoprotein (NP) (Influenza A Ab, IDEXX, Westbrook, Maine) according to the manufacturer
- instructions for swine at the time of the study with a 1:10 starting dilution of serum or rennet-
- treated milk and a cut-off sample to negative (S/N) optical density (O.D.) ratio of 0.6. Prior to

182 ELISA, milk samples were treated with rennet (Mucor miehei, Sigma-Aldrich, St. Louis, MO) as previously described¹⁸. H5 specific hemagglutinin inhibition (HI) and virus neutralizing (VN) 183 antibody assays on the London line of Madin-Darby Canine Kidney (MDCK) cells were 184 185 conducted as previously described¹⁹⁻²¹. Prior to HI, sera were heat inactivated at 56°C for 30 186 minutes, treated with receptor destroying enzyme (Hardy Diagnostics, Santa Maria, CA), and adsorbed with 0.5% and subsequently 100% rooster red blood cells for 20 minutes each to 187 remove nonspecific hemagglutinin inhibitors and natural serum agglutinins. 188 189 Processing of raw sequence data and single nucleotide variant calling 190 To analyze the Illumina short read data for 82 samples, we used the "Flumina" pipeline (https://github.com/flu-crew/Flumina) for processing and analyzing influenza data ³. The 191 pipeline uses Python v3.10, R v4.4 (R Development Core Team 2024), and SnakeMake ²² to 192 193 organize programs and script execution. The reads are preprocessed using FASTP²³, 194 removing adapter contamination, low complexity sequences, and other artifacts. Consensus contigs for phylogenetics were assembled using IRMA v1.1.4⁹. The pipeline maps cleaned 195 196 reads to the reference cattle strain (A/dairy cattle/Texas/24-008749-002/2024) using BWA (bwa index –a bwtsw;)²⁴. High frequency single nucleotide variants (SNV) were called with 197 GATK v.4.4²⁵ and low frequency SNVs were called with LoFreg²⁶. To assess potential SNV 198 199 phenotypic changes, a database was generated using the Sequence Feature Variant Types tool from the Influenza Research Database ²⁷ for all eight genes. To estimate genome-wide 200 natural selection, we used the program SNPGenie on the VCF files ²⁸. 201

202 Statistical Analysis

203 Pearson correlation coefficients between Ct values for milk samples from the bucket as well as 204 the inoculated teats (front right (FR) and rear left (RL)) and rumination time, milk production

- and consistency and color scores of milk from the inoculated teats were calculating using the
- 206 package Hmisc v. 5.1-3c in RStudio 2022.02.1+461 "Prairie Trillium". Correlations were
- 207 considered significant at P < 0.05 and visualized using the package corrplot v. 0.92.
- 208 Results

209 Phylogenetic analysis of challenge strain

210 The phylogenetic tree topology (Figure 1) with B3.13 genotype strains collected from dairy cattle between March to May 2024 was congruent with earlier analyses ³. The HA gene tree 211 212 indicated a single monophyletic clade; following the introduction of the B3.13 virus genotype into dairy cattle in late 2023³, it persisted and rapidly spread through cattle populations. There 213 214 was no evidence of reassortment, and all viruses detected in dairy cattle were classified as 215 B3.13 genotype maintaining the new PB2 and NP gene that had been acquired in migratory 216 birds prior to the spillover ³. The HA gene tree and the phylogenomic tree had little evidence for directional selection with long external branches relative to internal branches, and many 217 viruses that were identical. These data suggested a founder effect where a single genotype 218 was introduced into a new population of susceptible hosts ²⁹. The TX/24 challenge strain was 219 99.94% identical to a B3.13 whole genome consensus strain (9 nucleotide substitutions across 220 221 the genome); and at the amino acid level, the TX/24 HA gene was identical to a consensus 222 B3.13 HA gene sequence with only two synonymous substitutions detected.





225 Figure 1. Evolutionary history of H5N1 clade 2.3.4.4b hemagalutinin genes from dairy cattle in 226 the United States collected between March and May 2024. The HA gene and whole genome phylogeny demonstrated a single introduction of the virus from wild birds to dairy cattle with 227 subsequent dissemination across nine US states. The A/dairy cattle/Texas/24-008749-228 229 002/2024 study strain, indicated by a red star, was 99.94% identical to a B3.13 whole genome 230 consensus strain (9 nucleotide substitutions across the genome); and at the amino acid level, 231 the TX/24 HA gene was identical to a consensus B3.13 HA gene sequence with only 2 232 synonymous substitutions detected. 233 **Clinical observations**

Following transition to containment, rumen motility, feed consumption, and milk production
stabilized in the lactating cows by the time of inoculation. Following inoculation, both cows
showed signs of mastitis with positive CMT and milk color and consistency changes beginning
at 2 DPI and lasting until approximately 14 DPI, only in the inoculated quarters (Figure 2A).
The peak average color change score in the milk from inoculated quarters from both cows was

239 6.5 on a yellow/brown scale (0-12) on 5 and 6 DPI. The milk was yellow, thicker, and contained 240 flakes and clots of debris from affected guarters during the same time period. Rumen motility 241 starkly declined on 1 DPI and began recovering after 7 DPI (Figure 2B). Milk production 242 steadily declined from 1-4 DPI, remained low until 10-12 DPI, and was 71-77% of preinoculation production at 23 DPI (Figure 2C). The cows showed signs of lethargy, reduced 243 feed intake, self-resolving watery diarrhea or dry feces, and intermittent clear nasal discharge 244 throughout the 24-day observation period. Neurologic signs were not observed. 245 The heifer calves displayed no overt signs of illness, with only transient increases in nasal 246 247 secretions observed. Other clinical parameters indicative of illness were not consistently 248 observed in the lactating cows or heifer calves.



Figure 2. Clinical signs and viral detection in lactating dairy cows. A) Representative milk

251 sampling demonstrating thickening, flakes or clots, and change in color in the upper left and 252 right photographs, and gel formation in positive California Mastitis Tests in the lower left 253 photograph. In all photos, changes were observed in the inoculated front right and rear left 254 quarters in the upper right and lower left cups of the milk collection paddle in each photo. B) 255 Average daily rumination time in minutes for both lactating cows measured using an ear-tag 256 accelerometer sensor. Rumination time decreased at one day post inoculation (DPI) and recovered to pre-challenged levels at 7 DPI. C) Each individual milking machine bucket was 257 258 weighed once daily to monitor production, Cow 2112 (blue) and Cow 2129 (orange). Milk 259 production steadily declined from 1-4 DPI, remained low until 10-12 DPI, and was 71-77% of 260 pre-inoculation production at 23 DPI. D) Milk was stripped from each teat prior to milking and a 261 sample from the bucket after milking, Cow 2112 (blue) and Cow 2129 (orange). An RT-gPCR 262 assay detected viral RNA beginning on 1 DPI until study termination at 24 DPI in the 263 inoculated quarters (solid lines), with cycle threshold (Ct) on the y-axis and DPI on the x-axis. 264 The positive detections in non-inoculated guarters (dashed lines) were likely cross-265 contamination due to the non-sterile collection of milk from each teat. The pink shading 266 indicates time points when live virus isolation was attempted from the bucket samples and 267 were negative by egg inoculation. One inoculated guarter from Cow 2112 was VI positive on 268 12 DPI, but all tested samples beyond 12 DPI were VI negative.

269 Virus detection in clinical and necropsy samples

Milk stripped from inoculated mammary quarters and the post-milking bucket sample were continuously RT-qPCR positive from 1 DPI until the end of the study (Figure 2D). Although stripped milk from non-inoculated quarters had Ct below 35, the detection was not consistent over consecutive days and never progressed to low Ct values indicative of live virus replication 274 in those mammary glands. Milk bucket samples subjected to virus isolation were positive on 5. 7, and 10 DPI and negative on 12, 14, 16, and 18 DPI. One inoculated guarter from Cow 2112 275 276 was VI positive on 12 DPI, but all tested mammary guarter samples beyond 12 DPI were VI 277 negative. There were strong positive correlation coefficients between Ct values from the milk bucket and 278 279 infected quarters with rumination and milk. As Ct decreased (higher viral load) so did rumination and milk production. There were also strong negative correlation coefficients 280 between milk Ct values and color and consistency scoring, as Ct decreased (higher viral load), 281 282 color and consistency scores increased (Figure 3). Only one nasal swab (Ct 33.1) from Cow 2112 and one ocular swab (Ct 34.7) from Cow 2129 on 3 DPI had RT-qPCR Ct ≤35; all 283 284 remaining clinical samples had RT-qPCR Ct greater than 35 cycles or undetected. No fecal 285 swab or blood samples were RT-qPCR positive at any time point. From the broad range of 286 samples collected at necropsy, mammary tissue from inoculated quarters and supramammary lymph nodes had RT-qPCR Ct ≤35, an inguinal lymph node and one inoculated mammary 287 288 tissue had Ct between 35-38. All other samples were not within RT-qPCR detection limits. (Supplemental Table 2). 289



290

291 **Figure 3.** Significant (P<0.05) Pearson correlation coefficients between Ct values for milk samples from the bucket as well as the inoculated teats (front right (FR) and rear left (RL)) and 292 293 rumination time, milk production and consistency and color scores of milk from the inoculated 294 teats. Empty squares in the matrix indicated the correlation was not significant (P<0.05) 295 Nasal, oropharyngeal, saliva, and ocular samples collected from the aerosol inoculated heifers sporadically had RT-qPCR Ct ≤35 (Supplemental Table 3). Heifer 2316 had nasal swabs with 296 297 Ct ≤35 from 1-5 and 7 DPI. Viral RNA was also detected across multiple days in oropharyngeal swabs, ocular swab, or saliva from Heifer 2316. Viable virus isolation was attempted on clinical 298 299 samples with Ct \leq 35 and were VI positive for nasal swabs from Heifer 2316 on 2-5 and 7 DPI. 300 This heifer was euthanized for necropsy on 7 DPI, so there were no further ante-mortem samples collected. Each of the other three heifers tested RT-gPCR positive on at least one 301 302 time point between one and seven DPI, but sporadically and not on consecutive days. Heifer 303 2311 had one VI positive FLOQ oropharyngeal swab on 3 DPI. No fecal swab or blood 304 samples were RT-qPCR positive at any time point. The sham-inoculated negative control 305 Heifer 2309 had no RT-qPCR detections as expected. From the broad range of samples

collected at the 7 DPI necropsy, lung tissue from both heifers (accessory lobes and cranial part of the left cranial lobe) had RT-qPCR Ct \leq 35, and a retropharyngeal lymph node, lung lobe (caudal part of the right cranial lobe and middle lobe), and a turbinate sample had Ct between 35-38. All other samples at DPI 7 as well as all samples from the 20 DPI necropsy were not within RT-qPCR detection limits (Supplemental Table 2).

311 Single nucleotide variant analysis of clinical samples

312 Across 82 samples collected from the experimentally inoculated cattle, we identified within-

313 host SNVs that were present at low frequencies (present in greater than 0.5 percent) across

the genome. We matched a custom database of variants of interest that could potentially

provide a selective advantage and alter virus phenotype. Overall, there were 3,960 SNVs

316 present at low frequencies, where 2,676 altered the amino acid with nonsynonymous changes

317 (Supplemental Table 4). Of the amino acid changes detected, only 12 had been previously

318 associated with known functional changes. We detected low frequency variants associated

319 with changes in pathogenicity/virulence in MP (T139A), NS (T91N/A, D92E/N, T94A, L95P,

320 S99P, D101N/G, D125N), and PB1 (R622Q). We also detected low frequency variants

associated with mammal adaptation in NS (F103S) and PB2 (L631P).

322 Antibody responses

The dairy cows inoculated by the intramammary route were negative for NP antibody in serum prior to inoculation, became positive on 7 DPI using a sample/negative (S/N) ratio cut-off of 0.6, and remained positive on all sample timepoints (Table 1A). Fresh stripped milk from both cows were also positive by NP ELISA by 9 DPI. Both cows were seropositive by HI assay on 24 DPI and by VN on 14 and 24 DPI (Table 1B). Milk samples collected from each quarter on 24 DPI were tested by HI with reciprocal titers of 10-40, with both cows having quarters above

and below the HI positive cut-off of ≥40. However, VN in inoculated quarters were positive on 9
DPI for Cow 2129 and on 12 DPI for Cow 2112. The uninoculated quarters from both cows
remained below the positive cut-off titer of 1:40. Two of the heifer calves were positive for NP
antibodies on 7 DPI while the remaining two seroconverted between 9 and 13 DPI (Table 2).
One calf was positive by HI assay and the calf with a later NP antibody response was suspect
at 20 DPI. The sham inoculated negative control remained seronegative as expected.

- **Table 1A**. Serum and milk ELISA and hemagglutination inhibition antibody response in
- 336 lactating dairy cows.*

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ID	Sample DP	10	4	5	6	7	8	9	10	12	14	24	HI
2112	Serum	0.907	1.252	1.265	0.742	0.394	0.296	0.299	0.331	0.338	0.323	0.194	80
2129	Serum	0.796	1.406	1.533	0.975	0.490	0.353	0.337	0.320	0.335	0.254	0.261	160
2112	Milk		1.317	1.164	1.000	0.871	0.585	0.481	0.352	0.365	0.270	0.297	#
2129	Milk		2.036	1.154	0.939	0.805	0.664	0.473	0.347	0.265	0.255	0.231	#

*NP ELISA sample to negative (S/N) ratio of optical densities reported for days post inoculation (DPI)

indicated in header row. Hemagglutination inhibition (HI) assay reported as reciprocal titer on the final

timepoint of 24 DPI. Positive samples indicated by gray shading and italics. [#]ELISA S/N reported for

- pooled milk bucket samples. HI titers in milk at 24 DPI varied by quarter between 10-40.
- **Table 1B.** Serum and milk virus neutralizing antibody responses in lactating dairy cows.

ID	Sample	DPI	7	8	9	10	12	14	16	18	21	23	24
2112	Serum		0					640					320
2129	Serum		10					320					640
2112	Front Left		0	0	0	0	20	20	20	10	10	0	10
2112	Front Right		0	0	0	20	160	160	160	80	80	80	40
2112	Rear Left		0	0	0	20	160	320	320	160	160	80	80
2112	Rear Right		0	0	0	0	20	20	10	10	10	0	0
2129	Front Left		0	0	0	20	10	20	20	20	20	0	10
2129	Front Right		0	0	40	80	80	40	80	80	80	40	40
2129	Rear Left		0	10	40	80	80	80	80	80	160	80	40
2129	Rear Right		0	0	0	20	10	20	10	10	20	10	0

344 *Virus neutralization assays reported as reciprocal titers for days post inoculation (DPI) indicated in

header row for serum and milk from each mammary quarter. Positive samples indicated by gray

346 shading and italics.

Table 2. Serum antibody response in yearling heifers.

ID	DPI	0	3	7	9	13	20	HI	VN
2311		0.923	1.252	0.455					
2312		0.783	0.944	0.828	0.974	0.454	0.520	20	20
2313		0.895	1.186	0.900	0.401	0.387	0.370	40	40
2316		0.977	1.162	0.499					

349 *NP ELISA sample to negative (S/N) ratio of optical densities reported for days post inoculation (DPI)

350 indicated in header row. Hemagglutination inhibition (HI) and virus neutralization (VN) assays reported

as reciprocal titer on the final timepoint of 20 DPI. Positive samples indicated by gray shading and

352 italics. Two animals were euthanized on 7 DPI.

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354 Pathologic evaluation

355 Minimal multifocal pulmonary consolidation was present in Heifer 2316 (Figure 4). In the

356 lactating cows, lesions considered incidental were observed and included a unilateral locally

extensive pleural adhesion and transparent, straw-colored abdominal fluid in cow 2112 and

interlobular pulmonary edema in cow 2129. Macroscopic evaluation of the remaining tissues

359 was unremarkable.



360

Figure 4. Minimal multifocal pulmonary consolidation (arrows and insets) consistent with

362 influenza A virus infection in Heifer 2316.

363 Similar histologic lesions were present in the left rear mammary gland (inoculated) of both dairy cattle. Fibrous connective tissue replaced 15% to 50% of secretory alveoli and ducts, 364 365 both intralobular and interlobular, of multifocal lobules (Figure 5A-D). The remaining secretory 366 alveoli in affected lobules were commonly shrunken and lined by small, attenuated, or swollen, 367 vacuolated epithelial cells (atrophy and degeneration). Both interlobular and intralobular fibrous 368 connective tissue contained multifocal aggregates of segmental to circumferential perialveolar or periductular mononuclear inflammatory cells predominated by lymphocytes and plasma 369 cells. The remaining secretory alveoli and ducts contained secretory product admixed with 370 371 occasional foamy cells (macrophages or foam cells) or rarely deeply basophilic, concentrically 372 lamellated foci (corpora amylacea). Nonaffected to minimally affected glandular tissue 373 commonly contained moderately to well-developed secretory alveoli. Histologic evaluation of 374 the non-inoculated mammary guarters (left front and right rear) was characterized by welldeveloped secretory epithelium that contained fluid and no to minimal intra- or interlobular 375 376 fibrosis and no to rare aggregates of mononuclear leukocytes predominated by lymphocytes 377 and plasma cells (Figure 5E and F). A summary of microscopic findings including IHC is 378 presented in the data file.



379

Figure 5. Interlobular (arrowheads) and intralobular (arrows) fibrosis of the left rear mammary

gland in A) Cow 2112 and C) 2129. A matched Masson's trichrome (fibrosis is blue)

demonstrates the extent of fibrosis in B) Cow 2112 and D) 2129. A representative E) H&E and

383 F) Masson's trichrome in a non-inoculated teat (left front) of 2112 are provided for comparison.

384 All photomicrographs are at 100X magnification.

385

386 IAV NP antigen was detected in the cytoplasm and nucleus of epithelial cells lining secretory

387 alveoli in the inoculated mammary gland quarters of both cows at 24 DPI (Figure 6A-D). IAV

388 NP antigen was also detected in the light zone of multiple germinal centers of the



supramammary lymph node of cow 2129 (Supplemental Figure 2).

Figure 6. Influenza A virus antigen detection by immunohistochemistry (arrowheads) in the left rear mammary glands. Influenza A virus detection by immunohistochemistry in the cytoplasm (arrows) and nucleus (arrowheads) of epithelial cells lining secretory alveoli of the left rear mammary gland of A) Cow 2112 and B) Cow 2129. Photomicrographs at 100X (A and B) and 400X (C and D) magnification.

396

390

In the heifers necropsied at 7 DPI minimal lesions consistent with IAV infection were focused
on conducting airways. The interstitium of a bronchiole of the caudal part of the left cranial lung
lobe of Heifer 2311 was minimally circumferentially expanded by a predominance of
lymphocytes and plasma cells. IAV was detected by IHC within the respiratory epithelial cells
lining this conducting airway (Figure 7A-D). Bronchiolitis obliterans was present in the caudal

402 part of the left cranial lung lobe of Heifer 2316 (Figure 7E). The lumen of a focal bronchiole 403 was 80% occluded by a polyp of fibrocytes and fibrin admixed with inflammatory cells and lined 404 by markedly attenuated epithelium. The surrounding interstitium was mildly circumferentially 405 expanded by lymphocytes and plasma cells. Scant IAV NP antigen was detected in the 406 remaining epithelial cells of the affected bronchiole, adjacent type II pneumocytes and probable alveolar macrophage (Figure 7F). Additional histologic lesions were observed in both 407 408 cows and heifers; however, the role of IAV infection in the development of these lesions could 409 not be defined by IHC or lesion character was not consistent with the disease time course.





412 accumulation of intraluminal inflammatory cells (arrow) in Heifer 2311 (A and C). Replication of 413 highly pathogenic avian influenza virus in the respiratory epithelium lining the affected 414 bronchiole of 2311 as demonstrated by immunohistochemistry (B and D; arrowheads). E) 415 Bronchiolitis obliterans in Heifer 2316. The lumen of a bronchiole (asterisk) is partially 416 occluded by a polyp lined by attenuated epithelial cells (arrowheads). The polyp is composed 417 of fibroblasts, fibrin, and lymphocytes (arrow). Adjacent alveoli contain increased inflammatory cells (probable alveolar macrophages; brace). An adjacent arteriole is circumferentially 418 419 surrounded by a mononuclear cell inflammatory infiltrate composed predominately of 420 lymphocytes and fewer plasma cells (chevron). Replication of highly pathogenic avian 421 influenza virus in the respiratory epithelium lining the affected bronchiole of 2316 as 422 demonstrated by immunohistochemistry (F; arrowheads), probable type II pneumocytes 423 (arrow) and alveolar macrophage (brace). All photomicrographs are at 200X magnification. 424 Discussion 425 We describe the first two successful models of experimental infection in cattle with H5N1 clade 426 2.3.4.4b genotype B3.13 strain. Signs of clinical disease were variable and may not be 427 recognized under field conditions, particularly from a respiratory route of exposure. 428 Experimentally inoculated cows showed clinical signs for 7-14 days, with changes to the milk 429 similar to field reports, including change in color from white to yellow, thickening, and presence of flakes or clots ^{2,30}. From 14-24 days after inoculation, after cows appeared to be recovering, 430 431 viral RNA was still detected in the milk and replicating virus was present in the inoculated 432 mammary gland guarters as detected by IHC on 24 DPI. However, viable virus was not found 433 in the pooled milking machine bucket or individual guarter milk samples after 12 DPI. The 434 detection of VN antibodies in the inoculated guarters coincided with negative virus isolation.

435 Additional functional antibody studies are needed for use with milk to understand when neutralizing antibodies appear and how long they last in larger numbers of animals. All calves 436 437 and cows seroconverted during the study, confirming infection from both routes of inoculation. 438 The commercial ELISA used to detect NP antibodies had not been validated by the 439 manufacturer for bovine serum or milk at the time of this study. The kit cut-off value is 0.5 for 440 avian species and included validation for H5N1 strains. The kit cut-off value is 0.6 for pigs but 441 based on H1 and H3 subtypes. Robust validation with known positive and known negative 442 bovine samples are needed for establishing a sensitive and specific cut-off for this host and 443 subtype, but due to the known exposure, consecutive sampling, and steady decline of the S/N 444 O.D. ratios, we used ≤ 0.6 as the cutoff for positivity.

445 The amount and duration of virus shed in milk from the inoculated mammary quarters are 446 major findings of this study and point to the mammary gland and milk as primary sources of 447 virus spread within and between dairy herds. This is consistent with the finding that movement 448 of lactating cows was a primary epidemiologic link between the earliest herds involved in the outbreak³. Virus replication in infected mammary glands was considerably more than that 449 450 expected from lungs of animals infected with host-adapted IAV. This is likely, at least in part, 451 due to the cellular structure and physiology of the lung compared to the mammary gland. While 452 IAV-susceptible epithelial cells line the conducting airways, these airways make up a minority 453 of the lung structure. Based upon both NP IHC from naturally infected dairy cattle and lectin staining, most of the mammary gland is composed of IAV-susceptible epithelial cells ^{2,31}. 454 455 Moreover, there is regular sloughing of epithelial cells and secretion of milk fat globules. Upon 456 release from the secretory epithelial cell, milk fat globules are bordered by epithelial cell 457 membrane. Milk fat globules can also have a membrane crescent that consists of epithelial cell

458 cytoplasm. Both the epithelial cell membrane and the crescent of milk fat globules may be
459 lined by or contain non-infectious and/or infectious viral particles; however, further exploration
460 of mammary tissue via electron microscopy is needed to confirm the role milk fat globules play
461 in the shedding of HPAI.

462 The fibrosis and loss of secretory alveoli in the evaluated sections of the left rear mammary 463 gland varied from mild to severe and were more extensive in sections evaluated in Cow 2112. 464 The extent to which secretory alveoli were lost and replaced by fibrous connective tissue within the affected quarter or quarters may account for the variable return to milk production of 465 individual animals following HPAI infection reported by farms². The long-term impact of 466 467 mammary fibrosis in recovered cows in subsequent lactation cycles remains to be determined. 468 Fibrosis is not a common finding in the lung following IAV infection and supports a divergent 469 immunopathogenesis. This may be due to the need for limiting inflammatory responses in an organ that is vital to life, the number of cells susceptible to infection, and mechanisms of host 470 471 viral clearance via immune responses. This hypothesis is further supported by the systemic 472 clinical signs observed in the dairy cattle that included depression, anorexia, and drop in 473 rumen motility. The presence of replicating virus in the inoculated mammary guarters at the 474 time of necropsy twenty-four days after inoculation suggests a longer course for this organ to 475 effectively clear the virus in comparison to the organs of the respiratory tract. Although fibrosis 476 is a permanent change and would likely result in decreased milk production for animals in the 477 field, prevention of fibrosis could be a primary variable for vaccine efficacy trials, along with significant reduction in virus titers. The application of a trichrome strain effectively 478 479 demonstrated the amount of fibrous connective tissue present within glands and sections could 480 be taken after the duration and quantity of viral shedding was characterized.

No to mild respiratory signs have been reported in affected dairy herds within the U.S. ³⁰ and 481 very few bovine respiratory diagnostic samples have been confirmed to be positive for H5N1 482 483 HPAI. The clinical signs, RT-gPCR results, macroscopic lesions, histologic findings, and 484 antigen distribution of this study align with these field observations. However, the mild acute 485 macroscopic and histologic lung lesions, detection of replicating IAV by IHC in the lower 486 respiratory tract, and RT-qPCR with virus isolation in two upper respiratory swabs confirmed a respiratory phase of infection following aerosol inoculation. Although respiratory infection was 487 488 limited in the 4 heifers, the detection of viable virus in 2 out of 4 represents a mode of infection 489 and transmission that, when applied to an animal facility that commonly holds hundreds of 490 animals, implies there is a role for the respiratory route. If natural exposure from the respiratory 491 route leads to production of neutralizing antibodies, it may prove beneficial if subsequently re-492 exposed by the intramammary route during the milking process.

493 The enteric signs that included both diarrhea (Cow 2129) and dry fecal material (Cow 2112) 494 noted in this study also align with reports from the field and justify the initial clinical differential 495 of an atypical enteric bovine coronavirus infection for the milk drop syndrome. Minimal lesions 496 were observed in the jejunum of both cows and cannot be definitively attributed to HPAI H5N1 497 infection. The loss of crypts and expansion of the lamina propria by fibrous connective tissue is 498 a chronic change present at 24 DPI and due to study timing, tissues were collected days after 499 enteric signs were noted. RT-qPCR did not detect HPAI in any rectal swab at any time point or 500 the jejunum or feces of inoculated animals at necropsy, but low levels of replication within the 501 upper gastrointestinal system cannot be ruled out based on timing of the necropsy. Additional 502 studies focused on pathologic assessment and antigen distribution at multiple time points

503 following inoculation should be conducted to more thoroughly evaluate tissue tropism at the 504 cellular level.

505 The interspecies transmission of H5N1 clade 2.3.4.4b to many mammal species, now including cattle with genotype B3.13, is unprecedented in our understanding of avian-adapted IAV ^{32,33}. 506 507 This raises concern for other mammalian hosts, including pigs and other domestic livestock 508 and pets, and particularly for humans. The human cases in the United States have been clinically mild and limited in number ^{4,5}, but concern remains as the H5N1 continues to expand 509 510 into new hosts, spread geographically, and reassorts with other avian or mammalian subtypes. 511 The possibility of H5N1 becoming endemic in cattle increases as the number of infected herds 512 continues to rise ¹. Pasteurization was shown to inactivate virus and retail milk remains 513 negative for infectious virus, thus not a risk for human consumption when processed according to Food and Drug Administration standards ³⁴. Unpasteurized milk and dairy products are a 514 515 risk to humans and other animals. Milk diverted from the human food supply in H5N1 positive dairy herds or from suspect cows should not be fed to other farm or peridomestic animals. 516 517 The sustained transmission among dairy cattle is an animal health crisis due to production and 518 economic losses and is a public health challenge due to occupational exposure on dairy farms. 519 The development of reproducible experimental challenge models like the ones described here 520 is the essential first step to inform subsequent research on intervention and vaccination 521 strategies. Although limited in the number of animals due to their size and high containment 522 space requirements, we reproduced the clinical observations from the field of viral mastitis due 523 to HPAI H5N1 infection alone and confirmed respiratory involvement. Further studies to understand transmission, refine the pathogenesis model, and define the kinetics of protective 524 525 immunity in cattle infected with HPAI are urgently needed.

526 Data Availability

527 Data that support the findings of the clinical challenge studies and sequence data, code, and 528 materials used in the analysis are available at <u>https://github.com/flu-crew/datasets</u>. Sequence 529 data generated within this study are provided at NCBI GenBank and the accession numbers 530 are provided in the supplementary materials.

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- 550 provider and employer.

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Supplemental Files 650

Supplemental Table 1. Clinical scores used to assess dairy calves and lactating dairy cows*. 651

Score	Behavior	Respiratory signs/Rate	Cough	Nasal discharge	Ocular Discharge	Feces
0	Normal	Normal	Normal	Normal, serous discharge	Normal	Normal
1	Mild lethargy with decrease in ambulation and attitude compared to pen mates	Slightly increased respiratory effort/slight dyspnea	Induce single cough	Small amount of unilateral, cloudy discharge	Mild ocular discharge (predominately in canthi)	Semi-formed, pasty
2	Moderate lethargy with stimulation needed to provoke ambulation	Notable increase in respiratory effort/dyspnea	Induce repeated coughs or occasional spontaneous cough	Cloudy or excessive mucus	Moderate bilateral ocular discharge	Loose, but stays on top of bedding or dry and tacky
3	Marked lethargy with ambulation not provoked by stimulation	Severe dyspnea with respiratory distress and tachypnea	Repeated spontaneous coughing	Copious, mucopurulent nasal discharge	Heavy ocular discharge (lashes coated) swelling/erythema of the eyelid	Watery, sifts through bedding

652

*Adapted from https://www.vetmed.wisc.edu/fapm/svm-dairy-apps/calf-health-scorer-chs/ Calf Health Scorer 653 (CHS) – Food Animal Production Medicine – UW–Madison (wisc.edu)

655 Supplemental Table 2. Tissues and samples collected at necropsy and tested by RT-qPCR.*

	Calf	7 DPI	Calf 2	0 DPI	Cow	24 DPI
Abomasum						
Blood in MTM						
Brainstem						
Brisket (deep+superficial pectoral)						
Bronchoalveolar lavage fluid						
Cerebellum						
Cerebrum						
Conjunctiva						
Descending colon						
Diaphragm						
Fecal swab						
Feces						
Heart						
lleum						
Jejunum						
Kidney						
Liver						
LN lleocecal						
LN Inguinal						
LN Mandibular						
LN Mesenteric						
LN Parotid		-				
LN Popliteal						
LN Retropharyngeal						
LN Supramammary		-				
LN Tracheobronchial						
Luna Accessory lobe						
Lung Caudal left lobe						
Lung Caudal part left cranial lobe						
Lung Caudal part right cranial lobe						
Lung Caudal right lobe						
Lung Cranial part left cranial lobe						
Lung Cranial part right cranial lobe						
Luna Middle lobe						
Mammary Left front						
Mammary Left rear						
Mammary Right front						
Mammary Right rear						
Ocular fluid aqueous						
Ocular fluid vitreous						
Omasum						
Pancreas						
Reticulum						
Rumen						
Rumen content						
Rump (gluteus medius: minimus biceps femoris)						
Spiral colon						
Spleen						
Tenderloin (nsoas major)						
Trachea						
Trached						
UTITIE						

656

657 *Green = Ct>38, orange = Ct 35-38, red = Ct <35, black = not collected.

DPI	1	2	3	4	5	6	7	CUMULATIVE
Nasal swab	1 (32.3) [#]	1 (24.8)	1 (30.2)	1 (31.6)	1 (27.5)	1 (36.2)	1 (29.8)	7
Oropharyngeal swab (FLOQ)	1 (37.3)	1 (34.9)	2 (34)	1 (36.8)	0	0	0	5
Oropharyngeal swab (COTT)*	1 (37.9)	3 (31.6)	0	1 (36.3)	0	1 (33.4)	0	6
Ocular swab	0	3 (36.8)	0	0	1 (31.4)	0	1 (35.5)	5
Saliva	0	1 (34.2)	2 (35.3)	1 (33.5)	1 (35.3)	1 (37.4)	0	6
CUMULATIVE	3	9	5	4	3	3	2	

659 Supplemental Table 3. Positive RT-qPCR samples in aerosol inoculated heifer calves.*

[#]Number of samples with Ct<38 out of four and RT-qPCR Ct value or average in parentheses.

661 *Virus isolation was unsuccessful for attempted cotton oropharyngeal swabs. Five FLOQ nasal swabs from 2316 and one

662 FLOQ oropharyngeal swab from 2311 were positive by virus isolation.

Supplemental Table 4. Raw read data collected from cattle were processed and high- and low-frequency single nucleotide variants (SNVs) were identified. The SNVs that resulted in a coding region change were screened against known functional changes with a relevant selection included here. No SNVs from this database were detected in the consensus sequence and remained at low frequencies. The number of cattle with the SNV were counted and mean allele frequency was calculated.

670

	Coding region		Cattle with	Mean allele
Gene	change	Functional type	variant (#)	frequency
MP	T139A	virulence/pathogenicity	1	0.008
MP	S207G	virulence/pathogenicity	1	0.006
NS	T91N, T91A	virulence/pathogenicity	2	0.009
NS	D92E, D92N	virulence/pathogenicity	1	0.009
NS	T94A	virulence/pathogenicity	1	0.009
NS	L95P	virulence/pathogenicity	1	0.012
NS	S99P	virulence/pathogenicity	1	0.007
NS	D101G, D101N	virulence/pathogenicity	2	0.011
NS	F103S	mammal adaptation	1	0.01
NS	D125N	virulence/pathogenicity	1	0.029
PB1	R622Q	virulence/pathogenicity	1	0.028
PB2	L631P	mammal adaptation	1	0.008

672 A.



- 673
- 674 B.



675

676 Supplemental Figure 1. A) Photographs of intramammary inoculation in the lactating Holstein
677 cows via teat canula. B) Photographs of aerosol respiratory inoculation in the Holstein heifer

678 calves using nebulizer masks.



680 **Supplemental Figure 2.** Influenza A virus detection by immunohistochemistry in the germinal

- center light zone of the supramammary lymph node of cow 2129 (A). Immunohistochemistry
- 682 did not detect Influenza A virus antigen in the left front mammary gland of 2112 (C).
- 683 Photomicrographs at 200X (A) and 100X (B) magnification.